

# Learning Diagnosis Based on Evolving Fuzzy Finite State Automaton

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## ABSTRACT

Nowadays, determining faults (or critical situations) in non-stationary environment is a challenging task in complex systems such as Nuclear center, or multi-collaboration such as crisis management. A discrete event system or a fuzzy discrete event system approach with a fuzzy role-base may resolve the ambiguity in a fault diagnosis problem especially in the case of multiple faults (or multiple critical situations). The main advantage of fuzzy finite state automaton is that their fuzziness allows them to handle imprecise and uncertain data, which is inherent to real-world phenomena, in the form of fuzzy states and transitions. Thus, most of approaches proposed for fault diagnosis of discrete event systems require a complete and accurate model of the system to be diagnosed. However, in non-stationary environment it is hard or impossible to obtain the complete model of the system. The focus of this work is to propose an evolving fuzzy discrete event system whose an activate degree is associated to each active state and to develop a fuzzy learning diagnosis for incomplete model. Our approach use the fuzzy set of output events of the model as input events of the diagnoser and the output of a fuzzy system should be defuzzified in an appropriate way to be usable by the environment.

## 1. INTRODUCTION

A great number of systems or situations can be naturally viewed as discrete event systems. A discrete event system is a dynamic system whose the behavior is governed by occurrence of physical events that cause abrupt changes in the state of the system (Liu & Qiu, 2009a; Cassandras & Lafortune, 1999; Moamar & Billaudel, 2012; Traore, Moamar, & Billaudel,

2013). Discrete event system theory, particularly on modeling and diagnosis, has been successful employed in many areas such as concurrent monitoring and control of complex system (Cao & Ying, 2005). Usually, a discrete event system is modeled by Automaton (Dzelme-Berzina, 2009; Mukherjee & Ray, 2014) or Petri Net (Patela & Joshi, 2013). Automaton (or more precisely a finite state automaton) are the prime example of general computational systems over discrete spaces and have a long history both in theory and application (Thomas, 1990; Moghari, Zahedi, & Ameri, 2011). A finite state automaton is an appropriate tool for modeling systems and applications which can be realized as finite set of states and transition between them depending on some input strings (Doostfateme & Kremer, 2004). And, the behavior of discrete event system modeled by an automaton is described by the language generated by the automaton.

Discrete event systems are divided into two categories: crisp discrete event system and fuzzy discrete event system. A crisp discrete event system is usually described by a deterministic automaton (Luo, Li, Sun, & Liu, 2012) and fuzzy state is the extension of crisp discrete event system by proposing fuzzy state and every state transition is associated with a possibility degree, called in the following membership value. Thus, the membership value can be defined as the possibility of the transition from current (active) state to next state. The main advantage of fuzzy finite state automaton is that their fuzziness allows them to handle imprecise and uncertain data, which is inherent to real-world phenomena, in the form of fuzzy states and transitions. In literature, many application of fuzzy discrete event system had been proposed (Gerasimos, 2009; Luo et al., 2012; Sardouk, Mansouri, Merghem-Boulahia, & Gaiti, 2013). Thus, one of the interesting characteristics of fuzzy automaton is the possibility of several transitions from different current fuzzy states lead to the same next fuzzy state simultaneously, and also the possibility of several transitions

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from one current fuzzy state lead to the different next fuzzy states simultaneously and consequently several output label can be activated at the same time (Doostfatemeheh & Kremer, 2005). For this reason, fuzzy discrete event is very adapted to resolve the ambiguity in a fault diagnosis problem especially in the case of multiple faults. In this paper, these output events constituted of a fuzzy set are applied as input event for our diagnoser. Most of applications, the output should be crisp. Therefore, the output of a fuzzy system should be defuzzified in an appropriate way to be usable by the environment. Thus, the outputs are assumed to be observable.

The diagnosis of discrete event systems is a research area that has received a lot of attention in the last years and has been motivated by the practical need of ensuring the correct and safe functioning of large complex systems (Cabasino & Alessandro Giua, 2010) or complex situation (like crisis situation) (Traore et al., 2013). Hence, the use of finite state automaton in fault diagnosis tasks has gained particular attention in the case of discrete event dynamic systems (Gerasimos, 2009). Although, most of approaches proposed in literature for fault diagnosis of discrete event systems require a complete and accurate model of the system to be diagnosed. However, the discrete event model may have arisen from abstraction and simplification of a continuous time system or through model building from input-output data. As such, it may not capture the dynamic behavior of the system completely. Therefore, in this paper, we attempt to develop a diagnosis approach based on fuzzy automaton for incomplete model in non-stationary environment. For most of real-world applications operate in non-stationary environment.

The diagnosis approach proposed in our paper is different from the approach proposed in (Kwong & Yonge-Mallo, 2011). In our paper, the diagnoser is a finite-state Automaton which takes fuzzy output sequence of the system as its input. Here, the learning diagnoser is constructed off-line and the diagnosis is performed on-line using input and output data generated by system's model. The on-line diagnosis system allows to build an evolving fuzzy finite state system by updating the set of states and/or the set of input symbols. The new states and/or transitions detected by the diagnoser is validated by an expert of the system or situation.

The potential application of learning diagnosis based on fuzzy finite state automaton is in solving the ambiguity in a fault diagnosis problem especially in the case of multiple faults.

This paper is organized as follows. In section 2, we present the required background of crisp discrete event system. We describe the general definition for fuzzy discrete event system in section 3. The standard diagnoser is presented in section 4. The algorithm of the learning diagnosis based on evolving fuzzy finite state automaton is proposed in section 5. Learning diagnoser application to crisis management is presented in section 6.

## 2. CRISP DISCRETE EVENT SYSTEM

A crisp discrete event system is usually described by a deterministic automaton  $G = \{X, \Sigma, \varphi, Y, x_0, F\}$ , where

- $X$  is the set of states  

$$X = \{x_0, x_1, \dots, x_{n-1}, x_n\},$$
- $\Sigma$  is set of input symbols,  

$$\Sigma = \{a_0, a_1, \dots, a_{m-1}, a_m\},$$
- $\varphi : X \times \Sigma \rightarrow X$  is the transition function,
- $Y$  is the set non-empty finite set of output,  

$$Y = \{y_0, y_1, \dots, y_{l-1}, y_l\},$$
- $x_0 \in X$  is the start state and
- $F \subseteq X$  is the (possibly empty) set of accepting or terminal states,

The event set  $\Sigma$  includes the set of failure events (or critical events)  $\Sigma_f$  (Kwong & Yonge-Mallo, 2011). In addition to the normal situation (mode)  $N$ , there are  $p$  critical situation (or failure mode)  $F_1, \dots, F_p$  that describe the evolution of the condition's system. We denote the condition set of the situation by  $\lambda = \{N, F_1, \dots, F_p\}$ , in this case, the state set partitioned into

$$X = X_N \cup X_{F_1} \cup \dots \cup X_{F_p}.$$

In (Traore et al., 2013), we proposed the extension of the transition function  $\varphi$  represented as:  $\varphi : X \times \Sigma \rightarrow X \times Y$ .

Let  $\varphi_1$  and  $\varphi_2$  be the two projection of  $\varphi$  such as  $\varphi_1$  gives the state reached from a state  $x_i \in X$  and a given input  $a_k \in \Sigma$  and  $\varphi_2$  defines the output sequence from state  $x_i$  and input  $a_k$ . The expression of  $\varphi_1$  and  $\varphi_2$  are given by

$$\begin{aligned}\varphi_1(x_i, a_k) &= \{x_j \mid \exists y_j \text{ such that } (x_j, y_j) \in \varphi(x_i, a_k)\}, \\ \varphi_2(x_i, a_k) &= \{y_j \mid \exists x_j \text{ such that } (x_j, y_j) \in \varphi(x_i, a_k)\},\end{aligned}$$

where  $x_i, x_j \in X$  and  $a_k \in \Sigma$  and  $y_j \in Y$ . The new definition of  $\varphi$  is:

$$\varphi(x_i, a_k) = (\varphi_1(x_i, a_k), \varphi_2(x_i, a_k)).$$

These two projection may be extended to take input sequence, for example:  $x_j \in \varphi_1(x_i, \sigma_i \in \Sigma^*)$  and/or output sequence for example:  $\sigma_y \in \varphi_2(x_i, \sigma_i \in \Sigma^*)$ , where  $\sigma_i = a_1 a_2 \dots a_l$  and  $\sigma_y = y_0 y_1 \dots y_n$ .  $\Sigma^*$  is a set of all strings formed by events in  $\Sigma$ , example  $a_k \in \Sigma$ , then,  $a_1 a_2 \dots a_k \in \Sigma^*$ .

The behavior of  $G$  is described by the language generated by  $G$  denoted as  $\mathcal{L}(G)$  or simply by  $\mathcal{L}$  (Liu & Qiu, 2009b).

## 3. FUZZY DISCRETE EVENT SYSTEM

Fuzzy discrete event systems as a generalization of (crisp) discrete event systems have been introduced in order that it is possible to effectively represent uncertainty, imprecision, and vagueness arising from the dynamic of systems. A fuzzy

discrete event system has been modelled by a fuzzy automaton; its behavior is described in terms of the fuzzy language generated by the automaton (Cao & Ying, 2006).

A Fuzzy Finite Automaton (FFA) is a 6-tuple

$$\tilde{G} = \{X, \Sigma, \delta, Y, \tilde{x}_0, F\}.$$

- i The fuzzy subset  $\delta : X \times \Sigma \times X \rightarrow [0, 1]$  is a function, called the fuzzy transition function. A transition from state  $x_i$  (current state) to  $x_j$  (next state) upon  $a_k$  with the weight  $\omega_{ij}$  is denoted as:  $\delta(x_i, a_k, x_j) = \omega_{ij}$ ,
- ii  $\tilde{x}_0 \in X$  is the set of initial states.

One of the interesting characteristics of FFA is the possibility of several transitions from different current (or active) states lead to the same next state simultaneously (see Figure 1.(a)). Thus, the possibility of several transitions from one current states lead to the different next states simultaneously as shown in Figure 1.(b), and consequently several output label can be activated at the same time (Doostfatemeht & Kremer, 2005). It is possible to have more than one start state with FFA.

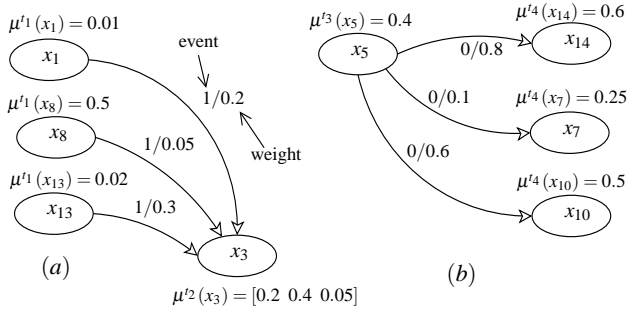


Figure 1. A example of FFA.

when an input  $a_k$  occurs at time  $t$ , all active state at this time, are those states to which there is at least one transition on the input event  $a_k$ . Then, the fuzzy set of all active state at time  $t$  is called active state set at time  $t$ . A active state set denoted  $X_{act}$  is consisted of state and their  $mv$ 's. The definition of  $X_{act}$  is given by:

$$\begin{aligned} X_{act}(t) &= \{(x_j, \mu^t(x_j)) \mid \exists (x_i \in X_{pred}(x_j), a_k \in \Sigma) \wedge x_j \in X_{succ}(x_i, a_k)\}, \\ X_{pred}(x_j) &= X_{pred}(x_j, t) \text{ and,} \\ X_{pred}(x_j, t) &= \{x_i \mid \exists a'_k \text{ s.t } x_j \in \phi_1(x_i, a'_k) \wedge x_j \in X_{act}(t)\}, \\ X_{succ}(x_i, a_k) &= \{x_j \mid x_j \in \phi_1(x_i, a_k)\}, \\ \delta(x_i, a_k, x_j) &= \omega_{ij}, \end{aligned}$$

For example in Figure 1.(a)

$$\phi_1(x_1, 1) = \phi_1(x_8, 1) = \phi_1(x_{13}, 1) = x_3.$$

where  $x_i$  is the state at time  $t - 1$ ,  $\mu^t(x_j)$  is the membership of state  $x_j$  at time  $t$ ,  $X_{pred}(x_j, t)$  is all predecessors set of active state  $x_j$  and  $X_{succ}(x_j, a_k)$  is all successors set of the state  $x_j$  on input symbol  $a_k$ . The successor  $X_{succ}(x_j, a_k)$  is the set of all  $x_j$  which will be reached via transition function  $\delta(x_j, a_k)$ . In the

following, all successors set of  $x_j$  is denoted by  $X_{succ}(x_j, \xrightarrow{all})$ , when the next state depend to the occurrence of different events.

We use the same notation for the active state, when the upon entrance is a string  $\Gamma$ . The active state set of the string  $\Gamma$  is given by:

$$X_{act}(\Gamma) = X_{act}(t_0 + |\Gamma|),$$

where  $|\Gamma|$  represent the length of  $\Gamma$ .

**Definition 1** A fuzzy set  $\Delta_X$  defined on a set  $X$  (discrete or continuous), is a function mapping each element of  $X$  to a unique element of the interval  $[0, 1]$ ,  $\Delta_X : X \rightarrow [0, 1]$ . The membership value ( $mv$ ) of the state  $x_i \in X$  at time  $t$  is denoted as  $\mu^t(x_i)$ .

For example in Figure 1.(a), at time  $t_1$ , the active state is  $X_{act}(t_1) = \{x_1, x_8, x_{13}\}$  and  $X_{succ}(x_1, 1) = \{x_3\}$ ,  $X_{succ}(x_8, 1) = \{x_3\}$  and  $X_{succ}(x_{13}, 1) = \{x_3\}$ , and at time  $t_2$ , the active state is  $X_{act}(t_2) = \{x_3\}$  and  $X_{pred}(x_3, t_2) = \{x_1, x_8, x_{13}\}$ , that mean the state  $x_3$  is forced to take several different  $mv$  at this time. Hence,  $x_3$  is a state with multi-membership, that we will call in the following multi-membership state.

In Figure 1.(b), each  $mv$   $\mu^{t+1}(x_j)$  of the state  $x_j$  at time  $t + 1$  is computed by using the function  $\Psi_1$ , named augmentation transition function. The function  $\Psi_1$  should satisfy the two following axioms.

1.  $0 \leq \Psi_1(\mu^t(x_i), \delta(x_i, a_k, x_j)) \leq 1$ ,
2.  $\Psi_1(0, 0) = 0$  and  $\Psi_1(1, 1) = 1$ .

To compute  $\mu^{t+1}(x_j)$ , the function  $\Psi_1$  use two parameters:  $\mu^t(x_i)$  at time  $t$  and the weight  $\omega_{ij}$  of the transition.

same example of  $\Psi_1$  are:

- Arithmetic Mean

$$\begin{aligned} -\mu^{t+1}(x_j) &= \Psi_1(\mu^t(x_i), \delta(x_i, a_k, x_j)), \\ &= \text{Mean}(\mu^t(x_i), \omega_{ij}), \\ &= \frac{\mu^t(x_i) + \omega_{ij}}{2}, \end{aligned}$$

- Geometric Mean

$$\begin{aligned} -\mu^{t+1}(x_j) &= \Psi_1(\mu^t(x_i), \delta(x_i, a_k, x_j)), \\ &= \text{GMean}(\mu^t(x_i), \omega_{ij}), \\ &= \sqrt{\mu^t(x_i) \times \omega_{ij}}, \end{aligned}$$

where  $\mu^t(x_i)$  is the  $mv$  of the corresponding predecessor of  $x_j$  and  $\delta(x_i, a_k, x_j) = \omega_{ij}$ .

The  $mv$  of each active state is used as the level of activation of each active state and the active state can be multi-membership state. However, in this paper, we need a single value for each active state. For this reason, the function  $\Psi_2$  is introduced

to compute the single  $mv$  corresponding to the state that was forced to take several  $mv$  by these predecessors. The single membership value  $\mu^{t+1}(x_j)$  of each multi-membership state given by:

$$-\mu^{t+1}(x_j) = \Psi_2[\Psi_1(\mu^t(x_i), \omega_{ij})],$$

where  $m$  is the number of simultaneous transitions from states  $x_i$  to state  $x_j$  prior to time  $t + 1$ .

The function  $\Psi_2$  should satisfy the minimum requirements following axioms:

1.  $0 \leq \Psi_2[\Psi_1(\mu^t(x_i), \omega_{ij})] \leq 1$ ,
2.  $\Psi(\phi) = 0$ ,
3.  $\Psi_2[\Psi_1(\mu^t(x_i), \omega_{ij})] = v$ , if  $\forall (\Psi_1(\mu^t(x_i), \omega_{ij}) = v)$ ,

same example of  $\Psi_2$  are:

- Maximum multi-membership resolution

$$-\mu^{t+1}(x_j) = \text{Max}_{i=1 \text{ to } m} [\Psi_1(\mu^t(x_i), \omega_{ij})],$$

- Arithmetic mean multi-membership resolution

$$-\mu^{t+1}(x_j) = \frac{\left[ \sum_{i=1}^m \Psi_1(\mu^t(x_i), \omega_{ij}) \right]}{m},$$

#### 4. CASE STUDY

Consider the *FFA* in Figure 4 with several transition overlaps and several output labels. It is specified as:

$$\tilde{G} = (X, \Sigma, \delta, Y, \tilde{x}_0, F),$$

The dashed line in Figure 4, between states 12 and 13 represents a failure event or critical event. The occurrence of event "f" bring the system in failure (or critical) mode corresponding to state  $x_{13}$ .

For instance, during the crisis management, the procedures designed by one or more organizations for the crisis situations can be applied, or partially applied or no applicable (no suitable) for the current situation. This latter case can be modeled by the state  $x_{13}$  in Figure 4 and for the reconfiguration, the model of crisis must be evolving and accepting missing information, whose the advantage to develop an evolving fuzzy finite state automaton for crisis management.

In this example

$X = \{x_0, x_1, \dots, x_{13}\}$ , the set of states,

$\Sigma = \{a, b, c, d, e\}$ , set of input symbols,

$Y = \{\theta, \alpha, \beta, \gamma, \mu, \rho, \kappa, \xi, \eta\}$ , set of output,

$\tilde{x}_0 = \{x_0, \mu^0(x_0)\}$ , fuzzy subset initial state,

$\Delta_X = \{0.04, 0.09, 0.1, 0.2, 0.3, 0.4, 0.5, 0.6, 0.7, 0.8, 0.9, 1\}$ ,

$$\lambda(x_i) = \begin{cases} F_1, & \text{if } i=13, \\ N, & \text{otherwise} \end{cases}$$

we suppose,  $\mu^0(x_0) = 1$  at the beginning and  $\tilde{x}_0 = \{(x_0, 1)\}$  and all the other  $mv$  are computed by using the function  $\Psi_2$  and/or  $\Psi_1$ .

Assuming that  $\tilde{G}$  starts operating at time  $t_0$  and the next three input are "a, e, d" respectively (one at a time), active states and their  $mv$ 's at each time step are as follows.

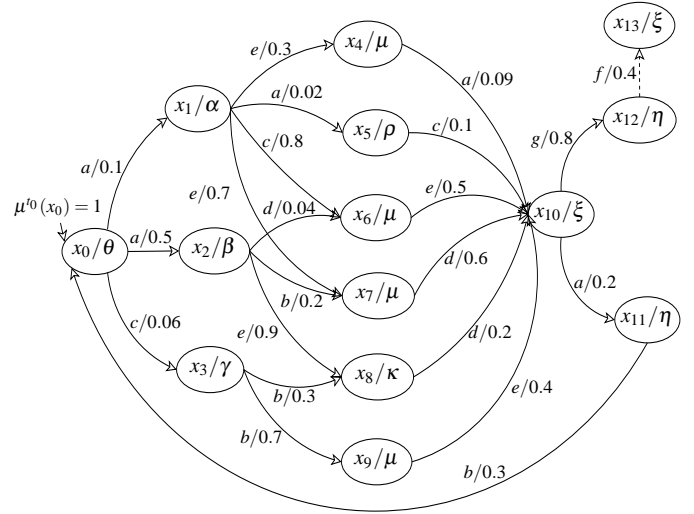


Figure 2. Fuzzy discrete event system model.

- **at time  $t_0$**

$$X_{act}(t_0) = \{(x_0, \mu^0(x_0)) \text{ with } \mu^0(x_0) = 1,$$

$$\begin{cases} X_{succ}(x_0, a_k) = \begin{cases} \{x_1, x_2\} & \text{if } a_k = a, \\ \{x_3\} & \text{if } a_k = c, \end{cases} \\ X_{succ}(x_0, \xrightarrow{all}) = \{x_1, x_2, x_3\}. \end{cases}$$

$X_{succ}(x_j, \xrightarrow{all})$  is the set of all (possible) successors of state  $x_j$ ,

- **at time  $t_1$ , input is "a"**

$$X_{act}(t_1) = \{(x_1, \mu^1(x_1)), (x_2, \mu^1(x_2))\},$$

and

$$\begin{cases} X_{pred}(x_1, t_1) = \\ X_{pred}(x_2, t_1) = \end{cases} \{x_0\}$$

and  $|X_{pred}(x_1, t_1)|$  is the number of predecessors of state  $x_1$ , and

$$|X_{pred}(x_1, t_1)| = |X_{pred}(x_2, t_1)| = 1,$$

and when

$$|X_{pred}(x_j, t)| \leq 1,$$

the state  $x_j$  have a single  $mv$  and  $\Psi_1$  is used to compute  $\mu^t(x_j)$  for the state  $x_j$ , otherwise the function  $\Psi_2$  is used.

The  $mv$  of  $x_1$  and  $x_2$  is computed by:

$$\mu^{t_1}(x_1) = \Psi_1(\mu^{t_0}(x_0), \delta(x_0, a, x_1) = \Psi_1(1, 0.1),$$

$$\mu^{t_1}(x_2) = \Psi_1(\mu^{t_0}(x_0), \delta(x_0, a, x_2) = \Psi_1(1, 0.5),$$

and

$$X_{succ}(x_1, \xrightarrow{all}) = \{x_4, x_5, x_6, x_7\},$$

$$X_{succ}(x_2, \xrightarrow{all}) = \{x_6, x_7, x_8\},$$

• **at time  $t_2$ , input is "e"**

$$X_{act}(t_2) = \{(x_4, \mu^{t_2}(x_4)), (x_7, \mu^{t_2}(x_7)), (x_8, \mu^{t_2}(x_8))\},$$

and

$$\mu^{t_2}(x_4) = \Psi_1(\mu^{t_1}(x_1), \delta(x_1, e, x_4),$$

$$\mu^{t_2}(x_7) = \Psi_1(\mu^{t_1}(x_1), \delta(x_1, e, x_7),$$

$$\mu^{t_2}(x_8) = \Psi_1(\mu^{t_1}(x_2), \delta(x_2, e, x_8),$$

and

$$X_{succ}(x_4, a) = X_{succ}(x_7, d) = X_{succ}(x_8, d) = \{x_{10}\},$$

and

$$X_{pred}(x_4, t_2) = X_{pred}(x_7, t_2) = \{x_1\},$$

$$X_{pred}(x_8, t_2) = \{x_2\},$$

$$|X_{pred}(x_4, t_2)| = |X_{pred}(x_7, t_2)| = 1 \text{ and}$$

$$|X_{pred}(x_8, t_2)| = 1,$$

• **at time  $t_3$ , input is "d"**

$$X_{act}(t_3) = \{x_{10}, \mu^{t_3}(x_{10})\},$$

and

$$X_{pred}(x_{10}, t_3) = \{x_4, x_7, x_8\}, \text{ \& } |X_{pred}(x_{10}, t_3)| \geq 1,$$

hence, the state  $x_{10}$  is forced to take several different  $mv$ , then  $\Psi_2$  is used to compute  $\mu^{t_3}(x_{10})$ .

$$\begin{cases} \mu_1(t_3) = \Psi_1(\mu^{t_2}(x_4), \delta(x_4, d, x_{10})), \\ \mu_2(t_3) = \Psi_1(\mu^{t_2}(x_7), \delta(x_7, d, x_{10})), \\ \mu_3(t_3) = \Psi_1(\mu^{t_2}(x_8), \delta(x_8, d, x_{10})), \\ \mu^{t_3}(x_{10}) = \Psi_2[\mu_1(t_3), \mu_2(t_3), \mu_3(t_3)], \end{cases}$$

to compute  $\mu^{t_3}(x_{10})$ , we can use Maximum multi-membership resolution given by relation (3) or Arithmetic mean multi-membership resolution defined by relation (3).

The fuzzy set of all active output, *i.e.*, output labels together with their  $mv$ 's, at time  $t$  denoted as  $Y_{act}(t)$ , is called the active output set at time  $t$ , given by:

$$Y_{act}(t) = \{(y_l, \tau^t(y_l))\} \text{ and } Y_{act}(\Gamma) = Y_{act}(t_0 + |\Gamma|),$$

where  $\tau^t(y_l)$  is the grade membership of the output  $y_l$  at time  $t$ . In this paper,  $y_l$  can be a state with multi-membership. For example,

- at time  $t_1$

$$\begin{aligned} Y_{act}(t_1) &= \{(\alpha, \tau^{t_1}(\alpha)), (\beta, \tau^{t_1}(\beta))\}, \\ &= \{(\alpha, \mu^{t_1}(x_1)), (\beta, \mu^{t_1}(x_2))\}, \end{aligned}$$

- at time  $t_2$ , the active state  $x_4$  and  $x_7$  generate the same output label  $\mu$ , *i.e.*, see Figure 4

$$\begin{aligned} Y_{act}(t_2) &= \{(\mu, \tau^{t_2}(\mu)), (\kappa, \tau^{t_2}(\kappa))\}, \\ &= \{(\mu, [\mu^{t_2}(x_4), \mu^{t_2}(x_7)]), (\kappa, \mu^{t_2}(x_8))\}, \end{aligned}$$

most of applications, the output should be crisp. Therefore, the output of a fuzzy system should be defuzzified in an appropriate way to be usable by the environment and the outputs are assumed to be observable.

A diagnoser must be able to detect and isolates faults and failures (Sampath, Sengupta, Lafortune, Sinnamohideen, & Teneketzis, 1995). In this paper, the diagnoser  $D_{\tilde{G}}$  is a finite-state Automaton which takes the fuzzy output sequence of the system, *i.e.*,  $\{(y_1, \tau^{t_1}(y_1)), \dots, (y_k, \tau^{t_k}(y_k))\}$  as its input, and based on this sequence calculates a set  $z_k \in 2^X - \{\emptyset\}$  to which  $x_i \in X$  must belong a time that pair  $(y_k, \tau^{t_k}(y_k))$  was generated. The diagnoser  $D_{\tilde{G}}$  is given by:

$$D_{\tilde{G}} = (Z, Y, \zeta, \lambda, z_0, \Omega),$$

with

- $Z$  is the set of standard diagnoser state,
- $Y$  is the set of standard diagnoser input, *we recall,  $Y$  is the output of model  $\tilde{G}$ ,*
- $\lambda$  is the set of standard dianoser output,
- $\zeta : Z \times Y \times \rightarrow Z \times \lambda$  is the standard diagnoser state transition function,
- $z_0$  is the start state set of the standard diagnoser,
- $\Omega \in Z$  is the (non-empty) set of terminal states

Let  $\zeta_1$  and  $\zeta_2$  be the two projections of  $\zeta$  of  $D_{\tilde{G}}$ , with  $\zeta_1$  and  $\zeta_2$  are given by

$$\begin{cases} \zeta_1(z_k, y_{k+1}) = \{z_{k+1} \mid \exists \lambda_i \wedge (z_{k+1}, \lambda_i) \in \zeta(z_k, y_{k+1})\}, \\ \zeta_2(z_k, y_{k+1}) = \{\lambda_i \mid \exists z_{k+1} \wedge (z_{k+1}, \lambda_i) \in \zeta(z_k, y_{k+1})\}, \\ \zeta(z_k, y_{k+1}) = (\zeta_1(z_k, y_{k+1}), \zeta_2(z_k, y_{k+1})). \end{cases}$$

with  $\lambda_i = \lambda(z_{k+1})$  and  $z_k \subseteq Z$  is the state estimate of  $D_{\tilde{G}}$  at time  $k$ .

The diagnoser state transition is given by

$$\left\{ \begin{array}{l} (z_{k+1}, \lambda(z_{k+1})) = \zeta(z_k, y_{k+1}), \\ \lambda(z_{k+1}) = \zeta_2(z_k, y_{k+1}), \\ z_{k+1} = \zeta_1(z_k, y_{k+1}), \\ = X_{succ}(z_k, \xrightarrow{all}) \cap \zeta_1(z_k, y_{k+1}), \end{array} \right.$$

Figure 5 shows the standard diagnoser for the discrete event system model of Figure 4, with  $z_0 = \{x_0\}$ . Each state of the diagnoser  $D_{\tilde{G}}$ , shown as a rounded box in Figure 5, is a set of states of the system. An output symbol and a failure condition are associated with each diagnoser state. For instance, to see the importance of having a complete model for the diagnoser, we suppose at time  $k$  the output sequence " $\theta\alpha\mu\xi\eta$ " is observed, then the state estimate is  $z_{10} = \{x_{11}, x_{12}\}$  and systems condition from  $z_0$  is  $\lambda(z_{10}) = N$ . The successors of state estimate  $z_{10}$  is:  $Z_{succ}(z_{10}) = z_{11} = \{x_{13}\}$  or  $Z_{succ}(z_{10}) = z_0 = \{x_0\}$ . If the next output symbol  $y_{k+1}$  is anything other than  $\xi$  or  $\theta$ , we get

$$Z_{succ}(z_{10}) = X_{succ}(z_1, \xrightarrow{all}) \cap \zeta_1(z_1, y_{k+1}) = \emptyset,$$

that means the observation generated after  $y_k$  is inconsistent with the model dynamic and the diagnoser cannot proceed. When the output sequence is inconsistent with the model of the system, then we have to revise the model of  $\tilde{G}$  by adding new state(s) and/or new transition(s) respectively in  $X$  and  $\Sigma$ , that we believe are missing in the nominal model. This situation may be interpreted as a normal or abnormal situation, because we add new states and/or transitions. Detecting and adding new states and/or transitions in  $X$  and/or in  $\Sigma$  of  $\tilde{G}$  is called learning diagnoser. A algorithm of a learning diagnoser is presented in the next section.

## 5. A ALGORITHM OF A LEARNING DIAGNOSER

A learning diagnoser is a standard diagnosis that tolerant of missing information, *i.e.*, transitions and states, about the system to be diagnosed. The learning diagnoser must be able to learn the true model of the system  $\tilde{G}$ , when missing information about the system are presented.

Let  $a_{new}$  be a new event detected and not found in  $\Sigma$  of system  $\tilde{G}$ , then the new set of input events of  $\tilde{G}$  is given by

$$\Sigma_{new} = \Sigma \cup \{a_{new}\}.$$

A transition  $x_d \xrightarrow{a_{new}} x_a$  is ordered pair of state denoting a transition from the state  $x_d$  to the state  $x_a$ . Let  $\phi'$  be the extend function transition of  $\phi$  of the system  $\tilde{G}$  such that

$$\phi_{new}(x_d, a_i) = \begin{cases} x_a & \text{if } a_i = a_{new} \text{ \& } \begin{cases} \Sigma \leftarrow a_{new}, \\ \text{and} \\ X \leftarrow x_a & \text{if } x_a \notin X, \end{cases} \\ \phi_1(x_d, a_i) & \text{otherwise,} \end{cases}$$

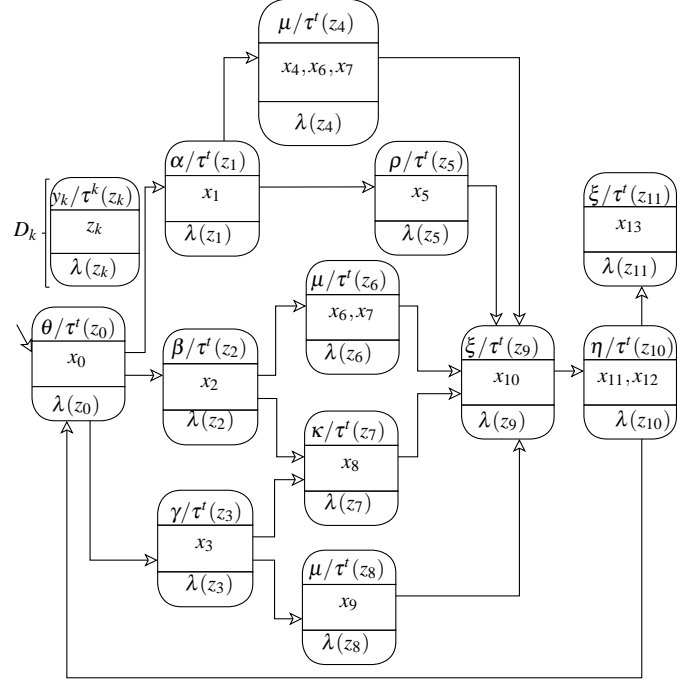


Figure 3. Diagnoser of fuzzy discrete event system model shown in Figure 4,  $\lambda(z_i)_{i=0 \dots 10} = N$  and  $\lambda(z_{11}) = F_1$ .

Let be a dynamic model  $\tilde{G}'$  of  $\tilde{G}$  defines as

$$\tilde{G}' = extend(\tilde{G}, X', \Pi) = (X \cup X', \Sigma \cup \Pi, Y, \phi_{new}, \tilde{x}_0).$$

And  $\tilde{G}'$  is called the extension of  $\tilde{G}$  by  $X'$  and  $\Pi$ , with  $X'$  is the set containing all new states and  $\Pi$  is the set containing all new transitions founded. The set transition  $\Pi$  is empty, if the model  $G$  of the system is consistent with the output sequence.

The algorithm presented in Algorithm 1 is the algorithm for the learning diagnoser and evolving fuzzy state automaton.

## 6. APPLICATION EXAMPLE

Nowadays, the crisis management is an important challenge for medical service and research, to develop new technical of decision support system to guide the decision makers. The crisis management is a special type of collaboration, therefore several aspects must be considered. The more important aspect in a crisis management is the coordination (and communication) between different actors and groups involved in the crisis management. Hence, the capacity to take fast and efficient decisions is a very important challenge for a better exit of crisis. Because the context and characteristics of crisis such as extent of actors and roles, the management becomes more difficult in order to take decisions, but also to exchange information or to coordinate different groups involved. The difficult to take a decision can be also due to random factors, such as stress, emotional impact, road conditions, weather conditions, etc. During the crisis management, it is hard to

```

initialization;
while input is  $a_k$  and active state time  $t - 1$  is  $x_i$  do
  read symbol  $a_k$ ;
   $x_j = \phi_1(x_i, a_k)$ ;
   $y_j = y_{k+1} = \phi_2(x_i, a_k)$ ;
   $X_{succ}(x_i, a_k) = \{\forall x_s \in X \mid x_s \in \phi_1(x_i, a_k)\}$ ;
  if  $x_i$  is the start state and time is  $t_0$  then
     $X_{pred}(x_j, t) = \emptyset$ ;
  else
     $X_{pred}(x_j, t) = \{\forall x_i \in X \mid x_j \in \phi_1(x_i, a_k)\}$ ;
  end
  if  $(X_{succ}(x_i, a_k) \cap \zeta_1(z_k, y_{k+1}) \neq \emptyset)$  then
    if  $(|X_{pred}(x_j, t)| = 0)$  then
       $X_{act} = x_0$ ;
       $X_{succ}(x_0, a_k) = \{\forall x_s \in X \mid x_s \in \phi_1(x_j, a_k)\}$ ;
    else if  $(|X_{pred}(x_j, t)| = 1)$  then
      single mv of all active states;
       $\mu^t(x)$  of each state  $x \in X_{act}$  is computed by;
       $\mu^t(x_j) = \Psi_1(\mu^t(x_j), \delta(x_i, a_k, x_j))$ ;
       $X_{act} = \{(x_j, \mu^t(x_j))\}$ ;
       $X_{succ}(x_j, a_k) = \{\forall x_s \in X \mid x_s \in \phi_1(x_j, a_k)\}$ ;
    else
      active state have been forced to take different
      several mv;
       $m = |X_{pred}(x_j, t)|$ ;
      for  $i = 1$  to  $m$  do
         $\mu_i = \Psi_1(\mu^{t-1}(x_i), \delta(x_i, a_k, x_j))$ ;
      end
       $\mu^t(x_j) = \text{Max}(\mu_1, \mu_2, \dots, \mu_{m-1}, \mu_m)$ ;
       $X_{act} = \{(x_j, \mu^t(x_j))\}$ ;
       $X_{succ}(x_j, a_k) = \{\forall x_s \in X \mid x_s \in \phi_1(x_j, a_k)\}$ ;
    end
    Diagnoser method;
    go to  $D_k$ ;
  else
    go to inconsistency;
    detection of new transition and/or state;
     $X_{succ}(x_i, a_k) \cap \zeta_1(z_k, y_{k+1}) = \emptyset$ ;
    we suppose for all new transition;
     $\delta(x_i, a_k, x_j) = 0$ ;
    if  $(x_j \in X \& a_k \in \Sigma)$  then
      new transition between  $x_i$ (past state) to  $x_j$ 
      (active state);
    else if  $x_j \in X \& a_k \notin \Sigma$  then
      update  $\Sigma$ ;
       $\Sigma \leftarrow a_k$ ;
    else
      update  $X$  and  $\Sigma$ ;
       $X \leftarrow x_j$ ;
       $\Sigma \leftarrow a_k$ ;
    end
  end
end
end

```

**Algorithm 1:** Evolving fuzzy finite state automaton

say exactly an actor's stress has changed from low to high. For this reason, it is important to integrate these factors in the model of crisis management for decision-making. The FFA presented above is used to takes into account the stress of the actors involved in the crisis management.

### 6.1. Our FFA model of crisis management

In this paper, we propose a model (no generic model) applied on the team SAMU<sup>1</sup> from Hospital of Troyes in France, during TEAN<sup>2</sup> exercise.

The team of SAMU is composed of the following actors:

- Rear Base<sup>3</sup> (RB): Operations Coordination,
- Communication Center (CC): collecting information and sharing with RB,
- First Team: first intervention, sending the first evaluation (result) about the crisis to the CC,
- Advanced Medical Post (AMP): Intervention and evacuation of victims, sending the complete evaluation to the CC.

The FSA of the TEAN exercise is shown in Figure 4.

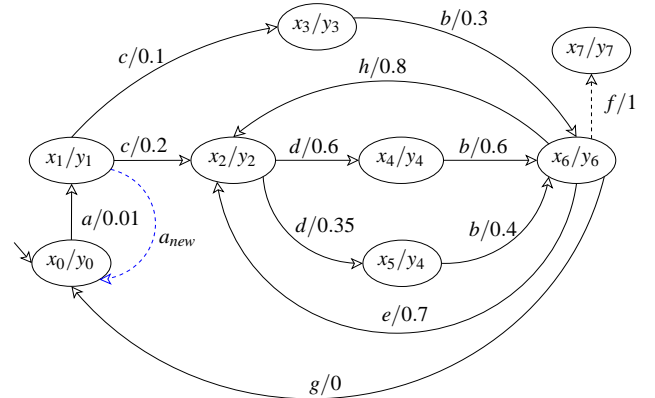


Figure 4. A example of modelisation of a scenario of crisis with finite state automaton and the weight corresponds to the stress of actors involved.

The discrete event model showed in Figure 4 for TEAN exercise, allows one hand to monitor the communication and coordination between various groups involved in crisis management, and also to supervise some specific behaviors that are critical situations. Thus the factor's stress of the actors involved is estimated for decision-making.

Consider the FFA in Figure 4 with several transition overlaps and several output labels. It is specified as:

$$\tilde{G}_n = (X, \Sigma, \delta, Y, \tilde{x}_0, F),$$

<sup>1</sup>SAMU is Service Emergency Medical Assistance.

<sup>2</sup>TEAN is the name of the exercise.

<sup>3</sup>Other word, Rear Base is decision makers

The dashed line in Figure 4, between states 6 and 7 represents a critical event. The occurrence of event "f" bring the system in or critical mode corresponding to state  $x_7$  and  $\omega_{i,j}$  is the stress of actors involved in crisis management.

In this example

$X = \{x_0, x_1, \dots, x_7\}$ , is the set of states, which occur with different, membership degree ( $\mu^t(x_0), \dots, \mu^t(x_7)$ ).

$\Sigma = \{a, b, c, d, e, f, g, h\}$ , set of input symbols,

$Y = \{y_1, y_2, y_3, y_4, y_6, y_7\}$ , set of output events,

$\tilde{x}_0 = \{(x_0, \mu^{t_0}(x_0) = 0)\}$ , starting state,

$$\lambda(x_i) = \begin{cases} F_1(\text{abnormal mode}), & \text{if } i=7, \\ N(\text{normal mode}), & \text{otherwise.} \end{cases}$$

Table 1. List and definition of the states.

States	Definition
$x_0$	No crisis
$x_1$	Onset Crisis
$x_2$	Information received at the communication center (CC)
$x_3$	Information arrived at the police center
$x_4$	Information received at the Emergency department
$x_5$	Information arrived at the Advanced Medical Post (AMP)
$x_6$	Information received at the accident area
$x_7$	The model is unpredictable for this crisis situation

Table 2. List and definition of outputs.

Output labels	Definition
$y_0$	No coming call
$y_1$	Accident is happen
$y_2$	Information arrived to CC
$y_3$	Information arrived to police office
$y_4$	Preparation of the Intervention Team
$y_5$	Preparation of the AMP
$y_6$	New Actors arrived in the accident area
$y_7$	uncontrolled situations (conditions)

Table 3. List and definition of the transitions (events).

events	Definition
$a$	A call from (or about) a accident
$b$	Sending Team to the accident site
$c$	Sending information to CC and police office
$d$	Sending information to Emergency
$e$	Sending the first evaluation to CC
$h$	Sending final evaluation to CC
$f$	End of crisis management without success
$g$	End of crisis management with success

In this example, we suppose at the beginning  $\mu^{t_0}(x_0) = 0$  (i.e, stress level is very low) and all the other  $mv$  are computed by using approaches presented in section 3.

Assuming that  $\tilde{G}_n$  starts operating at time  $t_0$  and the next three

input are "a" respectively (one at a time), active states and their  $mv$ 's at each time step are as follows.

- **at time  $t_0$**

$$X_{act}(t_0) = \{(x_0, \mu^{t_0}(x_0))\}$$

$$\begin{cases} X_{succ}(x_0, a) = x_1, \\ X_{succ}(x_0, \xrightarrow{all}) = \{x_1\}. \end{cases}$$

$X_{succ}(x_j, \xrightarrow{all})$  is the set of all successors of state  $x_j$ ,

- **at time  $t_1$ , input is "a"**

$$X_{act}(t_1) = \{(x_1, \mu^{t_1}(x_1))\},$$

$Y_{act}(t_1) = \{(y_1, \tau^{t_1}(z_1))\}$ , and  $\tau^{t_1}(z_1) = \tau^{t_1}(x_1) = \mu^{t_1}(x_1)$  at time  $t_1$  the weight corresponding to the stress of the people involved is  $\omega_{0,1} = 0.01$  and this weight is estimated by the expert of the crisis management.

$X_{pred}(x_1, t_1) = x_0$ , and  $|X_{pred}(x_1, t_1)|$  is the number of pre-decessors of active state  $x_1$ .  $|X_{pred}(x_j, t)| = 1$ , then, the active state  $x_1$  is not forced to take multi-membership.

$$X_{succ}(x_1, c) = \{x_2, x_3\},$$

## 6.2. Diagnoser model of TEAN exercise

The standard diagnoser for the fuzzy discrete event system of crisis management model illustrated in Figure 4 is shown in Figure 5, with  $z_0 = \{x_0\}$ . Each state of the diagnoser  $D_{\tilde{G}_n}$ , shown as a rounded box in Figure 5, is a set of states of the system. An output symbol corresponding to the operating condition of the system is associated with each diagnoser state. For example, to see the importance of having a complete model for the diagnoser, we suppose at time  $t_1$  the output sequence "y<sub>0</sub>y<sub>1</sub>" (see Figure 4) is observed, then the state estimate is  $z_1 = \{x_1\}$  and the operating condition from  $z_0$  is  $\lambda(z_1) = N$ . The successors of state estimate  $z_1$  is:  $Z_{succ}(z_1) = \{z_2, z_3\} = \{x_2, x_3\}$ . If the next output symbol  $y_{t+1}$  is  $y_0$ , we get

$$Z_{succ}(z_1) = X_{succ}(z_1, \xrightarrow{all}) \cap \zeta_1(z_1, y_{t+1}) = \emptyset,$$

that means the observation generated after  $y_1$  is inconsistent with the model dynamic and the diagnoser cannot proceed. When the output sequence is inconsistent with the system's model, then we have to revise the model of  $\tilde{G}_n$  by adding in this application a new transition ( $a_{new}$ ) from the state  $x_1$  to the state  $x_0$ (s) (see Figure 4). This situation may be interpreted as a normal or abnormal situation. Detecting and adding new states and/or transitions in  $X$  and/or in  $\Sigma$  of  $G$  is called learning diagnoser.

## 7. CONCLUSION

In this paper, we have dealt with the failure diagnosis of fuzzy finite state automaton for systems operating in non-stationary environment. We have presented in our paper, the definition

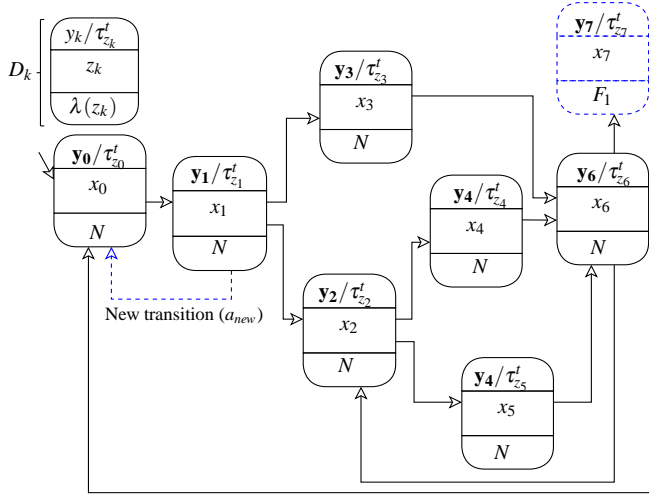


Figure 5. Diagnoser of fuzzy discrete event system model shown in Figure 4.

of a crisp discrete event system and fuzzy discrete event system. The main advantage of fuzzy finite state automaton, to handle imprecise and uncertain data is presented. We have formalized the construction of the learning diagnoser based on evolving fuzzy finite state automaton that are used to perform fuzzy diagnosis. In particular, we have propose a algorithm for learning diagnoser based on evolving fuzzy finite state automaton that allows to add new transitions and states. The newly proposed diagnoser approach allows us to deal with the problem of failure diagnosis for fuzzy discrete event system, which many better deal with the problem of fuzziness, impreciseness and uncertainness in the failure diagnosis.

The potential application of learning diagnosis based on fuzzy finite state automaton is in solving the ambiguity in a fault diagnosis problem especially in the case of multiple faults.

Future work will focus on the proposal of fuzzy states of crisis management by using fuzzy finite automaton that takes into account of a random vector as such the stress, weather condition and emotional impact of the actors involved in crisis management.

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